

0300 #7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

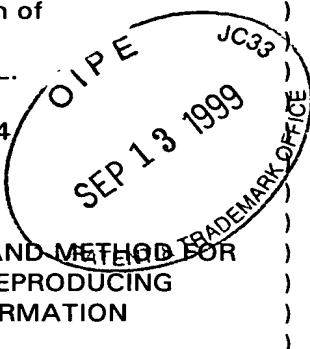
In re Patent Application of

ISAO ICHIMURA ET AL.

Appln. No. 09/330,894

Filed: June 11, 1999

For: APPARATUS AND METHOD FOR
RECORDING/REPRODUCING
OPTICAL INFORMATION



Group Art Unit: 2753

Examiner: Not Assigned

SUBMISSION OF FORMAL DRAWINGS

2001 Ferry Building
San Francisco, CA 94111
415/433-4150

Attention: Official Draftsman
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing in the above-identified application are six (6) sheets of formal drawings comprising Figures 1 through 8.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

September 9, 1999
(Date)

SONY-P9651
(S99P0651US00)

By: 

Charles P. Sammut
Registration No. 28,901
Attorneys for Applicant(s)

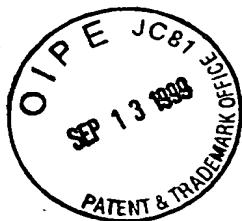
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Official Draftsman, Assistant Commissioner for Patents, Washington, D.C. 20231, on September 9, 1999.

LIMBACH & LIMBACH L.L.P. Date: September 9, 1999

By: 

Name: Susan Ozanne



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-------------------------------|---|-------------------------|
| In re Patent Application of |) | Group Art Unit: 2753 |
| ISAO ICHIMURA ET AL. |) | Examiner: Unknown |
| Appln. No.: 09/330,894 |) | REQUEST FOR CORRECTION |
| Filed: June 11, 1999 |) | OF THE OFFICIAL FILING |
| |) | <u>RECEIPT</u> |
| For: APPARATUS AND METHOD FOR |) | 2001 Ferry Building |
| RECORDING/REPRODUCING |) | San Francisco, CA 94111 |
| OPTICAL INFORMATION |) | 415/433-4150 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on SEPTEMBER 9, 1999.

LIMBACH & LIMBACH L.L.P. Date: SEPTEMBER 9, 1999

By:
Name:

Susan Dianne

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Attached is a copy of the Official Filing Receipt (Form PTO-103X) which was received in our offices on July 27, 1999 in connection with the above-identified application.

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

In the Applicant(s) section, please correct the residence of TATSUYA NARAHARA, **KANAGAWA**, JAPAN.

PATENT

Please forward the amended Official Filing
Receipt to:

Charles P. Sammut
Limbach & Limbach L.L.P.
2001 Ferry Building
San Francisco, CA 94111

In the event it is determined that a fee
pursuant to 37 C.F.R. § 1.19(h) is required in
connection with this request, the Commissioner is
hereby authorized to charge payment of any fees
associated with this communication or credit any
overpayment to Deposit Account No. 12-1420. A
duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

Date:

September 9, 1999

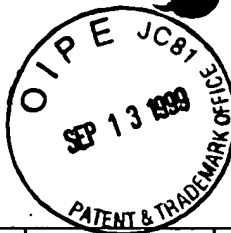
By:

Charles P. Sammut
Charles P. Sammut
Reg. No. 28,901

Attorneys for Applicant(s)

Attorney Docket No. SONY-P9651 (S99P0651US00)

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/330,894 | 06/11/99 | 2753 | \$982.00 | SONY-P9651 | 6 | 28 | 4 |

~~CHARLES P SAMMUT~~ *AVS*
LIMBACH & LIMBACH LLP
2001 FERRY BUILDING
SAN FRANCISCO CA 94111

RECEIVED

JUL 27 1999

LIMBACH & LIMBACH LLP.

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) ISAO ICHIMURA, TOKYO, JAPAN; TATSUYA NARAHARA, ~~TOKYO~~
JAPAN; KIYOSHI OSATO, CHIBA, JAPAN. *KANAGAWA*

FOREIGN APPLICATIONS- JAPAN P10-173704 06/19/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/01/99
TITLE

APPARATUS AND METHOD FOR RECORDING/REPRODUCING OPTICAL INFORMATION

PRELIMINARY CLASS: 360

PREVIOUS ART STATEMENT DUE: _____

FOREIGN FILING LETTER DUE: _____

FOREIGN FILING DEADLINE: _____

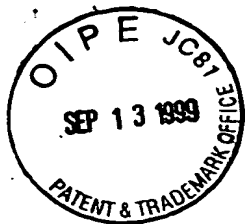
18mTH SUSPENSE DATE: *12/11/2000*

on call

DATA ENTRY BY: JORDAN, STANLEY

TEAM: 04 DATE: 07/07/99

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of) Group Art Unit: 2753
ISAO ICHIMURA ET AL.) Examiner: Not Assigned
Appln. No. 09/330,894) **RESPONSE TO NOTICE TO FILE MISSING**
Filed: June 11, 1999) **PARTS OF APPLICATION AND SUBMISSION**
For: APPARATUS AND METHOD FOR) **OF COMBINED POWER OF ATTORNEY AND**
RECORDING/REPRODUCING) **DECLARATION**
OPTICAL INFORMATION) 2001 Ferry Building
San Francisco, CA 94111
415/433-4150

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed July 13, 1999 (copy enclosed), applicants submit the enclosed Combined Declaration for Patent Application and Power of Attorney.

A check in the amount of \$130.00 is enclosed herewith to cover the surcharge for filing missing parts of an application.

Also enclosed is a Request for Correction of the Official Filing Receipt.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

September 9, 1999
(Date)

SONY-P9651
(S99P0651US00)

By: Charles P. Sammut
Charles P. Sammut
Registration No. 28,901
Attorneys for Applicant(s)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on September 9, 1999.

LIMBACH & LIMBACH L.L.P. Date: September 9, 1999

By: Susan Ozanne
Name: Susan Ozanne



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| PATENT APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|---------------------------|---------------------|-----------------------|---------------------------|
|---------------------------|---------------------|-----------------------|---------------------------|

09/330,894

06/11/99

ICHIMURA

I

SONY-P9651

AUC
CHARLES P. SAMMUT
LIMBACH & LIMBACH LLP
2001 FERRY BUILDING
SAN FRANCISCO CA 94111

0242/0713

NOT ASSIGNED

2753
DATE MAILED:

07/13/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date GrantedResponse Due 9/13/99
see 204

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ large entity ☐ small entity (verified statement filed), is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____; including any multiple dependent claim fees, are required.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).☐ 7. Your filing receipt was mailed in error because your check was returned without payment.☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

5 Jorda
A copy of this notice **MUST** be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

09/15/1999 TLM11 00000023 09330894 130.00 DP
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